



Texas Board of Professional Geoscientists  
Annual Report on Complaint Data 2016

**Complaints Opened during FY 2016**

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	Subtotal	Total
Complaints opened	9	14	14	22	59	
<b>Source / Description</b>						
General Public			1		1	
Licensee			1		1	
Other state agency		2			2	
TBPG-anonymous info	4				4	
TBPG Compliance investigation	5	11	12	21	49	
TBPG-Media information					0	
TBPG-other*		1		1	2	
Other					0	
					<b>59</b>	<b>59</b>

\*TBPG-other includes cases opened by TBPG based on having received information indicating a violation that was not received from an anonymous source.

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	Subtotal	Total
Complaints opened	9	14	14	22	59	
<b>Allegation Classification / Description</b>						
Unlicensed practice / adv.	5	4	6	12	27	
Unethical practice		1			1	
Incompetent practice			1		1	
Licensing rules violation	4	9	7	10	30	
Criminal conviction					0	
Other					0	
					<b>59</b>	<b>59</b>

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	Subtotal	Total
Complaints opened	9	14	14	22	59	
<b>Prioritization / Complexity</b>						
1-significant harm					0	
2-less harm	9	9	14	20	52	
3-no harm-public initiated					0	
4-no harm-agency initiated		5		2	7	
					<b>59</b>	<b>59</b>

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	Subtotal	Total
Complaints opened	9	14	14	22	59	
<b>Form Description (how complaint was received)</b>						
Mail - Letter (USPS First class, Certified mail, private carrier, etc.)					0	
E-mail					0	
FAX					0	
Walk-in			2		2	
Other	9	14	12	22	57	
					<b>59</b>	<b>59</b>



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**Complaints closed in FY 2016**

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	Subtotal	Total
Complaints closed	12	11	10	21	54	
<b>Source / Description</b>						
General Public	1		1		2	
Licensee					0	
Other state agency				2	2	
TBPG-anonymous info	1	4			5	
TBPG Compliance investigation	10	7	9	18	44	
TBPG-Media information					0	
TBPG-other				1	1	
Other					0	
					<b>54</b>	<b>54</b>

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	Subtotal	Total
Complaints closed	12	11	10	21	54	
<b>Violation Classification / Description</b>						
Unlicensed practice / adv.	8	5	7	12	32	
Unethical practice			1	1	2	
Incompetent practice					0	
Licensing rules violation	4	6	2	8	20	
Criminal conviction					0	
Other					0	
					<b>54</b>	<b>54</b>

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	Subtotal	Total
Complaints closed	12	11	10	21	54	
<b>Prioritization / Complexity</b>						
1-significant harm					0	
2-less harm	12	11	10	15	48	
3-no harm-public initiated					0	
4-no harm-agency initiated				6	6	
					<b>54</b>	<b>54</b>



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	Qtr 1	Qtr 2	Qtr 3	Qtr 4	Subtotal	Total
Complaints closed	12	11	10	21	54	
<b>How closed / Disposition</b>						
Non-jurisdictional	1			1	2	
Unfounded	2	1	1	1	5	
Unsubstantiated			1		1	
Violation-disc. action not warranted					0	
Violation-advisement	8	3	7	13	31	
Other					0	
<b>Subtotal-no disc. Action</b>					<b>39</b>	<b>39</b>
Violation-disciplinary action (licensed)		5	1	5	11	
Violation-disciplinary action (unlicensed)	1	2		1	4	
<b>Subtotal-disc. Action</b>					<b>15</b>	<b>15</b>
Total all closed					<b>54</b>	<b>54</b>

Complaints closed-disciplinary action	Qtr 1	Qtr 2	Qtr 3	Qtr 4	Subtotal	Total*
<b>Licensed</b>	0	5	1	5	11	
<b>Disciplinary action taken (cases)</b>					0	
Refuse to issue / renew a license					0	
Permanently revoke					0	
Suspend up to three years					0	
Private reprimand					0	
Public reprimand		5	1	1	7	
Limitations, conditions, restrictions					0	
Peer review program					0	
Remedial education		3	1	2	6	
Probation					0	
Restitution					0	
Administrative Penalty		5	1	4	10	
Cease and Desist					0	
					<b>23</b>	<b>23</b>

\* Because a complaint may be closed with more than one type of disciplinary action, the number of disciplinary actions taken will typically be greater than the total number of complaints closed.

Complaints closed-disciplinary action	Qtr 1	Qtr 2	Qtr 3	Qtr 4	Subtotal	Total*
<b>Unlicensed</b>	1	2	0	1	4	
<b>Disciplinary action taken (cases)</b>						
Administrative Penalty	1	2		1	4	
Cease and Desist	1	2		0	3	
					<b>7</b>	<b>7</b>

\* Because a complaint may be closed with more than one type of disciplinary action, the number of disciplinary actions taken will typically be greater than the total number of complaints closed.



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**Complaint cases open at end of fiscal year 2016**

	Prior FYs	Qtr 1	Qtr 2	Qtr 3	Qtr 4	Subtotal	Total
<b>Open Complaints on <u>8/31/16</u></b>	21	1	2	7	13	44	
<b>Allegation Classification</b>							
Unlicensed practice / adv.	4				3	7	
Unethical practice						0	
Incompetent practice				1		1	
Licensing rules violation	17	1	2	6	10	36	
Criminal conviction						0	
Other						0	
						<b>44</b>	<b>44</b>